



See for

Express Mail No.: EL 501 641 653 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Snyder et al.

Application No.: 09/849,781

Group Art Unit: 1643

Filed: May 4, 2001

Examiner: To Be Assigned

For: PROTEIN CHIPS FOR HIGH
THROUGHPUT SCREENING OF
PROTEIN ACTIVITY

Attorney Docket No.: 6523-028

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Provisional Application (hereinafter the "Notice") mailed by the U.S. Patent and Trademark Office on July 19, 2001 in connection with the above-captioned application, Applicants submit herewith the following documents: (1) executed Declaration; (2) executed Power of Attorney by Assignee; (3) executed Verified Statement Claiming Small Entity Status (Yale University); (4) executed Verified Statement Claiming Small Entity Status (Protometrix, Inc.); and (5) a return copy of the Notice.

In accordance with the Notice, the balance believed due by Applicant for the filing fee and additional claim fees is **\$2,228.00**, and for filing the enclosed Declaration and Power of Attorney is **\$65.00**, resulting in total fees due estimated to be **\$2,293.00**. Please

charge the required fees to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date September 19, 2001

Geraldine F. Baldwin 31,232
Geraldine F. Baldwin (Reg. No.)

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Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/849,781	05/04/2001 O P I E SEP 1 9 2001 PATENT & TRADEMARK OFFICE 2815	Michael Snyder	6523-028

PENNIE & EDMONDS LLP
COUNSELLORS AT LAW
1155 Avenue of the Americas
New York, NY 10036-2711

CONFIRMATION NO. 9891

FORMALITIES LETTER



OC000000006316066

Date Mailed: 07/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/25/2001 SMINASS1 00000084 161150 09849781

01 FC:201	355.00 CH
02 FC:203	801.00 CH
03 FC:202	680.00 CH
04 FC:204	135.00 CH
05 FC:205	65.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$3232.
 - \$1602 for 89 total claims over 20.
 - \$1360 for 17 independent claims over 3 .
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 4072.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE